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Serial No. 03SW198 / ALBRP318US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Applicant: **David Little, et al.**
Serial No.: **10/667,750**
Filed: **September 22, 2003**
For: **SYSTEMS AND METHODS FOR SHARING PORTAL CONFIGURATIONS**
Art Unit: **2171**
Examiner: **Unknown**

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications, (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120 or (c) U.S. patent (s) and published application(s) since the subject application was filed after June 30, 2003.

Serial No.: _____
Filing Date: _____

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):

- (a) ____ Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
- (b) ____ Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents".

3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):

- (a) ____ Within 3 months of the filing date or date of entry into the National Stage.
- (b) X Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the

absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R.

(c) ____ After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.

(1) ____ The required certification is given below, or

(2) ____ The Commissioner is Authorized to charge the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement to Deposit Account No. 50-1063 (Ref. No. ALBRP306US).

(d) ____ After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.

(1) ____ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(i)(1), or

4. Certification (if applicable)


(a) ____ The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.

(b) ____ The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.

Respectfully Submitted,
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By


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CERTIFICATE OF MAILING

I hereby certify that this correspondence (along with any paper referenced as being attached or enclosed) is being deposited on the below date with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450. Alexandria, VA 22313-1450.

Date:

8-12-04


Himanshu S. Amin

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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete If Known	
				Application Number	10/667,750
Sheet 2 of 2				Filing Date	September 22, 2003
				First Named Inventor	David Little, et al.
				Group Art Unit	2171
				Examiner Name	Unknown
				Attorney Docket Number	03SW198 / ALBRP318US

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		DONGWAN SHIT, GAIL-JOON AHN, SANGRAE CHO and SEUNGHUN JIN, "A ROLE ADMINISTRATION SYSTEM IN A ROLE-BASED AUTHORIZATION INFRASTRUCTURES - DESIGN AND IMPLEMENTATION", 2003, 5 pages	
		ANDREAS SCHAAD, JONATHAN MOFFETT and JEREMY JACOB, "THE ROLE-BASED ACCESS CONTROL SYSTEM OF A EUROPEAN BANK: A CASE STUDY AND DISCUSSION", 2001, 7 pages	
		SYLVIA OSBORN and RAVI SANDHU, "CONFIGURING ROLE-BASED ACCESS CONTROL TO ENFORCE MANDATORY AND DISCRETOINARY ACCESS CONTROL POLICIES", MAY 2000, Pages 85-106	
		HAIO ROECKLE, GERHARD SCHIMPF and RUPERT WEIDINGER, "PRECESS-ORIENTED APPROACH FOR ROLE-FINDING TO IMPLEMENT ROLE-BASED SECURITY ADMINISTRATION IN A LARGE INDUSTRIAL ORGANIZATION", 2000, 8 pages	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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